

Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 12, 2001

ATTY DOCKET NO.
2001_1284A

SERIAL NO.
09/936,323

APPLICANT
Tsutomu MINAMI et al.

FILING DATE
September 12, 2001

GROUP
4746 1752

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					

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DEC 14 2001
TC 1700

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AJ					
AK					
AL					
AM					
AN					

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	Najafi, S.I., et al. "Sol-Gel Glass Waveguide and Grating on Silicon", Journal of Lightwave Technology, vol. 16, no. 9 (1998), pages 1640-1646.
AP	Touam, T., et al. "Theoretical and experimental study of ridge waveguides with Bragg grating derived from hybrid sol-gel glasses", SPIE, vol. 3282, pages 17-30.
AQ	Andrews, M.P., et al. "Collateral Densification Associated with the Photoresponse of Hybrid Sol-Gel Glasses for Depositing Bragg Gratings on Ridge Waveguides", SPIE, vol. 3282, pages 50-58.

EXAMINER *John C. White* DATE CONSIDERED *March 26 2003*

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

Rec'd PCT/PTO 12 SEP 2001

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NEWAPPLICANT
Tsutomu MINAMI et al.FILING DATE
September 12, 2001GROUP
1752

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
ACW	AA	5,271,797	12/1993	Kamisawa			
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ACW	AJ	965885	12/1999	Europe			
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ACW	AL	6-148880	5/1994	Japan			
ACW	AM	938027	8/1999	Europe			
ACW	AN	7-305030	11/1995	Japan			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

Imad C. Wink

DATE CONSIDERED March 26, 2003

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